



**STATEMENT UNDER 37 CFR 3.73(b)**

Application	09/828,539
Confirmation Number	unassigned
Filing Date	April 5, 2001
First Named Inventor	Howard Preissman
Examiner	unassigned
Group Art	3738
Attorney Docket No.	PALX-002-CON

Parallax Medical, Inc., a Corporation  
(Name of Assignee) (Type of Assignee, e.g. corporation, partnership, university, government agency, etc.)

states that it is:

- ☒ 1. the assignee of the entire right, title and interest; or
- ☐ 2. an assignee of an undivided part interest in the patent application identified above by virtue of either:  
in the patent application identified above by virtue of either:
- ☒ A. An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 9061, Frame 0193 of which a copy thereof is attached.

OR

- ☐ B. A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_  
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3. From: \_\_\_\_\_ To: \_\_\_\_\_  
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- ☐ Additional documents in the chain of title are listed on a supplemental sheet.
- ☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

**SIGNATURE of Applicant or Assignee of Record**

Name and Company	<u>Parallax Medical, Inc.</u>	Title	<u>President</u>
Signature	<u>Howard E. Preissman</u>	Date	<u>8/16/01</u>



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
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MAY 27, 1998

PTAS

MORRISON & FOERSTER LLP  
ALAN W. CANNON  
755 PAGE MILL ROAD  
PALO ALTO, CALIFORNIA 94304-1018



\*100676015A\*

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UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/23/1998

REEL/FRAME: 9061/0193  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
PREISSMAN, HOWARD

DOC DATE: 03/11/1998

ASSIGNEE:  
PARALLAX MEDICAL, INC.  
2140 JONATHAN AVENUE  
SAN JOSE, CALIFORNIA 95125

SERIAL NUMBER: 08950256  
PATENT NUMBER:

FILING DATE: 10/14/1997  
ISSUE DATE:

SEDLEY PYNE, PARALEGAL  
ASSIGNMENT DIVISION  
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04-07-1998



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U.S. DEPARTMENT OF COMMERCE

ER SHEET

Patent and Trademark Office  
Docket No. 361722000200

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To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Howard Preissman

- ☒ Individual      ☐ Association  
☐ General Partnership      ☐ Limited Partnership  
☐ Corporation-State      ☐ Other

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment      ☐ Merger  
☐ Security Agreement      ☐ Change of Name  
☐ Other:

Execution Date: March 11, 1998

2. Name and address of receiving party(ies):

Name: Parallax Medical, Inc.  
Internal Address: 2140 Jonathan Avenue,  
Street Address: Same as Internal  
City: San Jose State: CA ZIP: 95125

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): 08/950,256

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Alan W. Cannon  
Morrison & Foerster LLP  
755 Page Mill Road  
Palo Alto, California 94304-1018

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. § 3.41): \$40.00

- ☒ Enclosed  
☐ Authorized to be charged to deposit account, referencing  
Attorney Docket 361722000200

8. Deposit account number: 03-1952

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. § 1.21 that may be required by this paper, or to credit any overpayment to Deposit Account No. 03-1952.

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Name: Alan W. Cannon  
Registration No: 34,977

Signature

Date

Total number of pages comprising cover sheet, attachments and document: 3

04/06/1998 SSMITH 00000156 08950256  
01 FC:581 40.00 OP

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Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231

**ASSIGNMENT  
SOLE**

THIS ASSIGNMENT, by Howard Preissman (hereinafter referred to as the assignor), residing at 2140 Jonathon Avenue, San Jose, California 95125, witnesseth:

WHEREAS, said assignor has invented certain new and useful improvements in ENHANCED VISIBILITY MATERIALS FOR IMPLANTATION IN HARD TISSUE, set forth in an application for Letters Patent of the United States, bearing Serial No. 08/950,256 and filed on October 14, 1997; and

WHEREAS, Parallax Medical, Inc., a corporation duly organized under and pursuant to the laws of California and having its principal place of business at 2140 Jonathon Avenue, San Jose, California 95125 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient considerations, the receipt of which is hereby acknowledged, said assignor has sold, assigned, transferred and set over, and by these presents does sell, assign, transfer and set over, unto said assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and the use of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignor, had this sale and assignment not been made.

AND for the same consideration, said assignor hereby covenants and agrees to and with said assignee its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignor is the sole and lawful owner of the entire right, title and interest in and to said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignor has good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignor hereby covenants and agrees to and with said assignee, its successors, legal representatives and assigns, that said assignor will, whenever counsel of said assignee, or the counsel of its successor, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignor hereby requests the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use said assignee, its successors, legal representatives and assigns.

3/11/98  
Date

  
Howard Preissman

COPY

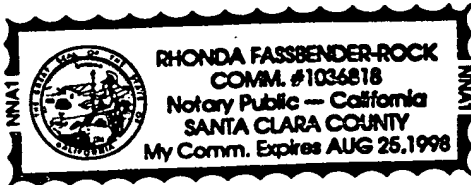
CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of Santa Clara

On 3/11/98 before me, Rhonda Fassbender-Rock, Notary Public,  
Date Name and Title of Officer (e.g., Jane Doe, Notary Public)  
personally appeared Howard Preissman  
Name(s) of Signer(s)

☐ personally known to me -- OR -- ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

[Signature]  
Signature of Notary Public

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Title or Type of Document: Assignment Sale  
Document Date: 3/11/98 Number of Pages: 1  
Signer(s) Other Than Named Above: N/A

Capacity(ies) Claimed by Signer(s)

Signers Name: Howard Preissman

- ☒ Individual  
☐ Corporate Officer

Title(s): \_\_\_\_\_

- ☐ Partner -- ☐ Limited ☐ General  
☐ Attorney-in-Fact  
☐ Trustee  
☐ Guardian or Conserservator  
☐ Other: \_\_\_\_\_

Signer is Representing:  
\_\_\_\_\_  
\_\_\_\_\_

Right Thumbprint Of Signer

Signers Name: \_\_\_\_\_

- ☐ Individual  
☐ Corporate Officer

Title(s): \_\_\_\_\_

- ☐ Partner -- ☐ Limited ☐ General  
☐ Attorney-in-Fact  
☐ Trustee  
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